1762

PTO/SB/21 (03-03)
Approved for use through 04/30/2003 OMB 0651-0031
U S Patent and Trademark Office. U S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

TRANSMITTAL FORM *(to be used for all correspondence after initial filing)*		
	Application Number	**10/074,395**
	Filing Date	**February 11, 2002**
	First Named Inventor	**Craig Jon HAWKER et al.**
	Art Unit	**1762**
	Examiner Name	**Elizabeth M. COLE**
Mail Stop **Non-Fee Amendment**	Attorney Docket Number	**5075-0017.10**

ENCLOSURES (Check all that apply)

- [·] No fee due
  - [X] Fee Transmittal
- [ ] Fee(s) due
  - [ ] Fee Transmittal
  - [ ] Check for $*
- [·] Charge any underpayment or credit any overpayment to Deposit Account No. 09-0441
- [·] Return postcard
- [·] Amendment/Reply
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
  - [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [ ] Information Disclosure Statement & Form(s) PTO-1449
  - [ ] Copy(ies) of cited reference(s)
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts / Incomplete Application
  - [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation, Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s):
- [ ] After Allowance Communication to a Technology Center (TC)
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- [ ] Proprietary Information
- [ ] Status Letter
- [ ] Other Enclosure(s) (please identify below):

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Name (print/type)	**Mark L. Warzel**	Registration No. (Attorney/Agent)	**47,264**	Telephone	**(650) 330-0900**
Signature	Mark L. Warzel			Date	**August 14, 2003**

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 14, 2003

Name (print/type)	**Will Sayo**		
Signature		Date	**August 14, 2003**

OIPE JC1 AUG 19 2003 PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Craig Jon HAWKER et al.

Confirmation No.: 6233

Serial No.: 10/074,395

Group Art Unit: 1771

Filing Date: February 11, 2002

Examiner: Elizabeth M. COLE

Title: SUBSTRATES PREPARED BY CHEMICAL AMPLIFICATION OF SELF-ASSEMBLED MONOLAYERS WITH SPATIALLY LOCALIZED POLYMER BRUSHES (as previously amended)

AMENDMENT UNDER 37 CFR § 1.111

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Official Action dated May 15, 2003, please amend the above-identified application and consider the remarks as follows:

Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this document.

Remarks/Arguments begin on page 4 of this document.